

**STATE OF VERMONT
PUBLIC SERVICE BOARD
Retail Open Access
Competitive Energy Service Provider Application**

Please submit an original, two copies, and a copy on diskette formatted for WordPerfect or Microsoft Word. Two copies each should also be served with the Vermont Department of Public Service and each utility where applicant seeks to offer to sell energy as a competitive energy service provider. Please type or print:

1. Legal Name of Applicant:

Doing Business As (DBA):

2. Business Address:

3. If a corporation, association, or partnership:

(a) organized under the laws of which state: _____

(b) date of organization: _____

(c) Please attach a copy of the articles of incorporation, association, partnership agreement, or other document regarding legal organization.¹

(d) Please attach a copy of the by-laws.

4. Name, Title and Business Address of all Officers and Directors, Partners, or other similar Officials:

5. A statement (with appropriate citation to corporate articles or by-laws or other operative documents) that acting as a Competitive Energy Service Provider is not an *ultra vires* purpose (beyond the scope) of the entity:

¹ All attachments must be labeled or tabbed to identify the application item to which they respond.

6. A summary of any history of bankruptcy, dissolution, merger or acquisition of the entity in the two calendar years immediately preceding application:
7. Name, Title and Toll-Free Telephone Number of Customer Service Contact Person:
8. Name, Title, and Telephone Number of Regulatory Contact Person:

- Fax and Internet Address (Optional)

9. Name and address of Resident Agent for Service of Process:

10. Provide a clear and concise description of the specific services that are to be provided by the Applicant. Include the types of customers to be served and geographic area in which services are to be provided.
11. Provide a statement that the applicant will comply with the information disclosure regulations promulgated by the Board.
12. Provide evidence of financial capability (such as the level of capitalization or corporate parent backing) to provide proposed services. Include evidence of creditworthiness in accordance with applicable Retail Open Access Tariff requirements.
14. Provide documentation of technical ability to generate or otherwise obtain and deliver electricity, or provide other proposed services as may be required of an Energy Service Provider pursuant to the approved Retail Open Access Tariffs applicable to the Generation Service to be offer for sale to consumers.
15. Provide documentation that the Applicant is a NEPOOL participant or will meet its transaction requirements through a contractual arrangement with a NEPOOL participant.
16. Provide evidence of Applicant's attendance at an approved training session as set forth in the Business Operational Rules and Electronic Data Interchange Standards Working Group Report, as amended from time to time, on file with the Board.

